BOARDS AND COMMISSIONS

Board of Pharmacy

(Amendment)

201 KAR 2:390. Requirements for third-party logistics providers.

RELATES TO: KRS [315.002, 315.005] 315.191(1)(a), 315.400(18), 315.4102, 315.4104, 315.4106, 315.4108, 315.4110

STATUTORY AUTHORITY: KRS 315.4102, 315.4104, 315.4106, 315.4108, 315.4110

NECESSITY, FUNCTION AND CONFORMITY: KRS 315.191(1)(a), 315.4102, 315.4104, 315.4106, 315.4108, and 315.4110 authorizes the board to promulgate administrative regulations to regulate third-party logistics providers. [KRS 315.4102 requires a third-party logistics provider to be licensed and that the board establish the renewal fee by administrative regulation. KRS 315.4104 requires a board-approved application, licensure fee, and accompanying information. KRS 315.4106 establishes eligibility factors for licensure and renewal. KRS 315.4108 identifies persons disqualified as owners and designated representatives of third-party logistics providers. KRS 315.4110 establishes criteria for lawfully conducting business as a third-party logistics provider in the Commonwealth of Kentucky.] This administrative regulation establishes requirements for the regulation of third-party logistics providers [to become licensed and operate].

Section 1. Definitions.
(1) “Component” means any raw material, ingredient, or article intended for use in the manufacture of a drug and drug-related device.

(2) “Distribution” or “distribute” has the same meaning given in KRS 315.400(5).

(3) “Drug sample” means a unit of a prescription drug that is not intended to be sold and is intended to promote the sale of the drug.

(4) “Product” means a prescription drug in a finished dosage form for administration to a patient without substantial further manufacturing, such as capsules, tablets, and lyophilized products before reconstitution.

(5) “Suspect product” means a component, prescription drug, or drug-related device for which there is a reason to believe that such component, prescription drug, or drug-related device:

(a) Is potentially counterfeit, diverted, or stolen;

(b) Is potentially intentionally adulterated such that the component, prescription drug, or drug-related device would result in serious adverse health consequences or death to humans or animals;

(c) Is potentially the subject of a fraudulent transaction; or

(d) Appears otherwise unfit for distribution such that the component, prescription drug, or drug-related device would result in serious adverse health consequences or death to humans or animals.

Application Requirements for Licensure Application and Renewal. (1) An applicant for initial licensure or renewal as a third party logistics provider shall submit:

(a) A non-refundable initial licensure or renewal fee of $200 by check or money order made payable to the Kentucky State Treasurer;

(b) A complete, sworn, and notarized Application to Operate as a Third-Party Logistics Facility;
(c) Unless previously provided, documentation of licensure as a third-party logistics provider through proof of registration with either:

1. The secretary of the U.S. Department of Health and Human Services, Food and Drug Administration; or

2. The state in which the provider ships;

(d) Unless previously provided, copy of current inspection report conducted by the United States Food and Drug Administration, if applicable. If a current inspection report is not available from the United States Food and Drug Administration, the applicant shall submit an inspection report by:

1. The National Association of Boards of Pharmacy (NABP); or

2. The board's authorized agent;

(e) A confirmation statement of the previous owner if ownership changed;

(f) Legal proof of any name change, if applicable;

(g) An explanation if an applicant, officer, partner, or director has ever been convicted of a felony or had a professional license or permit disciplined under federal, state, or local law;

(h) Ownership information for each partner, director, or officer, including:

1. Name and title;

2. Email addresses;

3. Federal employer identification number;

4. Address;

5. Phone number;

6. Social security number; and

7. Date of birth;
(i) State of incorporation or organization if the owner is a corporation; and

(j) Upon request, a list of all manufacturers, wholesale distributors, and dispensers for whom the third-party logistics provider provides services.

(2) An applicant applying for any ownership or address change shall submit a non-refundable ownership change fee of $100 and a change of address fee of $100.

(3) Each License shall expire on June 30 following date of issuance, unless earlier suspended or revoked. There shall be a delinquent renewal fee of $200 for failure to renew by June 30 of each year.

Section 2. [General] Requirements. [A third-party logistics provider shall:] (1) A third-party logistics provider providing services in the Commonwealth, including distributing into the Commonwealth, shall apply for a license from the Board of Pharmacy in accordance with KRS 315.4102 and this administrative regulation.

(2) A separate license shall be required for each third-party logistics provider’s facility that provides services in the Commonwealth, including distributing into the Commonwealth, regardless of whether joint ownership or control exists.

(3) An agent or employee of a licensee shall not be required to obtain a license under this section when the agent or employee is acting in the usual course of business or employment.

(4) A license shall not be issued or renewed unless the applicant demonstrates or continues to demonstrate acceptable operational procedures, including:

(a) Adequate operation, maintenance, and storage conditions to ensure proper lighting, ventilation, temperature and humidity control, sanitation, space, and security as per label requirements or official United States Pharmacopoeia (USP) compendium requirements, USP Chapter 659, Packaging and Storage Requirements as incorporated by reference in 201 KAR
2:105. Appropriate manual, electromechanical, or electronic temperature and humidity recording equipment, devices, or logs shall be utilized to document proper storage of components, prescription drugs, or drug-related devices;

(b) Separation and quarantine of deteriorated, damaged, outdated, misbranded, adulterated, or recalled components, prescription drugs, or drug-related devices until they are destroyed or returned; and

(c) If applicable, provide proof of registration with the U.S. Food and Drug Administration (FDA) and U.S. Drug Enforcement Administration (DEA) and shall comply with all federal laws, state and local laws and regulations.

(5) A third-party logistics provider shall comply with all requirements as outlined in the Drug Supply Chain Security Act (DSCSA), 21 U.S.C 360eee-360eee-4, and other applicable federal laws.

(6) A third-party logistics provider shall establish a system to quarantine or destroy suspect or illegitimate product if directed to do so by the manufacturer, repackager, wholesale distributor, dispenser, or authorized government agency.

(7) [(4)] A third-party logistics provider shall have readily retrievable within 48 hours [immediately provide], upon written request of the Board of Pharmacy or its agents, and maintain for Board of Pharmacy inspection, a list of all manufacturers, wholesale distributors, repackagers, and dispensers for whom the third-party logistics provider provides services;

[(8)][(2)] A third-party logistics provider shall have readily retrievable within 48 hours [immediately provide], upon written request of the Board of Pharmacy or its agents, and maintain for Board of Pharmacy inspection, a list of each partner, limited liability company
member, and corporate officer or director, and facility manager, including a description of the
duties and qualifications of each; and

(9) Make available for board inspection, records of providing third-party logistics services
involving prescription drugs, if such records are maintained; and A third-party logistics provider
shall have readily retrievable within 48 hours, upon written request of the Board of Pharmacy or
its agents, and maintain for Board of Pharmacy inspection, records with capability to trace the
receipt and outbound distribution or disposition of components, prescription drugs, or drug-
related devices and records of inventory.

(4) Follow closure procedures established in 201 KAR 2:106, Section 2

Section 3. Qualifications for Licensure. (1) The Board of Pharmacy shall consider, at a
minimum, the following factors in determining the eligibility for initial licensure and renewal of
third-party logistics providers:

(a) Minimum considerations in KRS 315.4106(1);

(b) Any convictions of the applicant or its officers under any federal, state, or local laws
relating to drugs, to include drug samples and controlled substances;

(c) The applicant’s and its officers’ past experience with distribution of prescription drugs and
drug-related devices, including drug samples and controlled substances; and

(d) Compliance with the requirements under any previously granted license or permit, if any.

(2) The Board of Pharmacy shall have the right to deny a license to an applicant if it determines
that the granting of that license would not be in the public interest based on health and safety
considerations.

(3) A license shall not be issued pursuant to this administrative regulation unless the applicant
has furnished proof satisfactory to the Board of Pharmacy:
(a) That the applicant is in compliance with all applicable federal, state, and local laws and regulations relating to prescription drugs and related devices; and
(b) That the applicant is equipped as to land, buildings, and security to properly conduct the business described in the application.

(4) A license issued pursuant to this administrative regulation may be disciplined, suspended, or revoked for failure to comply with the provisions of KRS 315.400, 315.4102, 315.4104, 315.4106, 315.4108, 315.4110, or this administrative regulation may result in discipline, suspension or revocation under KRS 315.121.

Section 4. Application, Fees, Renewals.

(1) An applicant for initial licensure or renewal as a third-party logistics provider shall submit:
(a) A non-refundable initial licensure or renewal fee of $200 by check or money order made payable to the Kentucky State Treasurer;
(b) A complete, sworn, and notarized “Application to Operate as a Third-Party Logistics”;
(c) Unless previously provided, documentation of licensure as a third-party logistics provider through proof of registration with either:
1. The FDA; or
2. The state in which the third-party logistics provider is located;
(d) Unless previously provided, copy of most current inspection report conducted by the FDA. If the most current inspection report is not available from the FDA, the applicant shall submit an inspection report by:
1. The National Association of Boards of Pharmacy (NABP); or
2. The resident state licensing or permitting authority’s authorized agent;
(e) A confirmation statement from the previous owner if ownership changed;
(f) Legal proof of any name change, if applicable;

(g) An explanation if an applicant, officer, partner, or director has ever been convicted of a felony or had a professional license or permit disciplined under federal, state, or local law;

(h) Ownership information for each partner, director, or officer, including:

1. Name and title;
2. Email addresses;
3. Federal employer identification number;
4. Address;
5. Phone number;
6. Social security number; and
7. Date of birth;

(i) State of incorporation or organization if the owner is a corporation; and

(j) Upon request, a list of all manufacturers, repackers, wholesale distributors, and dispensers for whom the third-party logistics provider provides services.

(2) An applicant applying for any ownership or address change shall submit a non-refundable fee of $100.

(3) Each license shall expire on June 30 following date of issuance, unless earlier suspended or revoked. There shall be a delinquent renewal fee of $200 for failure to renew by June 30 of each year.

Section 5. Standards. (1) Facilities.

(a) All facilities in which components, prescription drugs, or drug-related devices are held shall be of suitable size, construction, and location to facilitate cleaning, maintenance, and proper operations:
(b) All facilities shall meet all applicable federal, state, and local laws and regulations;

c) A third-party logistics provider shall quarantine components, prescription drugs, or drug-related devices that are outdated, damaged, deteriorated, misbranded, recalled, or adulterated;

(d) A facility shall not be located in a residence; and

e) A facility shall be located apart and separate from any pharmacy permitted by the Board of Pharmacy.

(2) Security.

(a) A third-party logistics provider shall be equipped with an alarm system to detect entry after hours.

(b) A third-party logistics provider shall assure that access from outside their premises is well controlled and reduced to a minimum. This includes the installation of adequate lighting at the outside perimeter of the premises.

(c) Internal security policies shall be developed to provide reasonable protection against theft and diversion by limiting access to areas where components, prescription drugs, or drug-related devices are held to authorized personnel. These policies shall provide protection against tampering with computers or electronic records.

(d) A third-party logistics provider shall employ adequate personnel with the education and experience necessary to safely and lawfully engage in providing these services.

(3) Recordkeeping requirements for companies handling prescription drugs and drug-related devices exempt from the DSCSA.

(a) Inventories and other records regarding the receipt and distribution or disposition of components, prescription drugs, or drug-related devices shall be maintained and readily retrievable within 48 hours for inspection or photocopying by the Board of Pharmacy and
authorized officials of any federal, state or local law enforcement agencies for a period of six
(6) years. These records shall include:

1. The business name and address of the third-party logistics provider’s client and the
address of the location from which the components, prescription drugs, or drug-related
devices were received;

2. The business name and address to whom the components, prescription drugs, or drug-
related devices were distributed or disposed of;

3. The identity and quantity of the components, prescription drugs, or drug-related devices
received and distributed or disposed of; and

4. The dates of receipt and distribution or disposition of the components, prescription drugs,
or drug-related devices.

(b) Records described in this section that are kept at the inspection site or that can be
immediately retrieved by computer or other electronic means shall be readily available for
authorized inspection during the retention period. Records kept at a central location apart from
the inspection site and not electronically retrievable shall be made available for inspection within
two (2) working days of a request by the Board of Pharmacy or an authorized official of any
federal, state or local law enforcement agency.

(c) Third-party logistics providers shall maintain an ongoing list of verified persons or businesses
to whom they ship prescription drugs and related devices.

(d) Third-party logistics providers may distribute components, prescription drugs, or drug-related
devices only to the following, except as provided in KRS 315.0351(2) and KRS 315.404:

1. A currently permitted manufacturer:

2. A currently licensed wholesaler:
3. A currently licensed third party logistics provider;
4. A currently permitted pharmacy;
5. A currently licensed outsourcing facility;
6. A currently licensed practitioner;
7. A currently permitted repackager;
8. A currently licensed hospital, but only for use by or in that hospital;
9. A person in charge of a laboratory, but only for use in that laboratory for scientific and medical research purposes; or
10. Any other appropriately licensed or permitted facility in the jurisdiction in which it is located.

(4) Written policies and procedures.

(a) A third-party logistics provider shall establish, maintain, and adhere to written policies and procedures for the receipt, security, storage, inventory, and distribution or disposition of components, prescription drugs, or drug-related devices.

(b) There shall be written policies and procedures for identifying, recording, and reporting significant losses or thefts to the Board of Pharmacy, and, if applicable, the FDA and the DEA.

(c) There shall be written policies and procedures for protecting against, and handling crisis situations that affect the security or operation of the facility. These crises shall include fires, floods, or other natural disasters, and situations of local, state, or national emergency.

(d) There shall be written policies and procedures for managing and correcting all errors or inaccuracies in inventories.
There shall be written policies and procedures as to the handling of any outdated, returned, or damaged prescription drugs and related devices. Any outdated, returned, or damaged components, prescription drugs, or drug-related devices shall be segregated.

There shall be written policies and procedures by which the third-party logistics provider exercises control over the shipping and receiving of all components, prescription drugs, or drug-related devices within the operation.

There shall be written policies and procedures for quarantining suspect product and illegitimate product if directed to do so by the respective manufacturer, repackager, wholesale distributor, dispenser, or authorized government agency.

Handling recalls. A third-party logistics provider shall establish, maintain and adhere to a written policy and procedure in accordance with business agreements as to the handling of recalls and withdrawals of components, prescription drugs, or drug-related devices.

Section 6. Violations. (1) A third-party logistics provider shall not distribute components, prescription drugs, or drug-related devices directly to a consumer or a patient except as provided in KRS 315.0351(2).

(2) A third-party logistics provider shall not operate in a manner that endangers the public health.

(3) Violations of any of these provisions shall be grounds for action under KRS 315.121.

Section 7. Incorporation by Reference.

(1) "Application to Operate as a Third-Party Logistics Facility Provider", May 2020, is incorporated by reference.

(2) "Application For Third-Party Logistics Provider License Renewal", May 2020, is incorporated by reference.
These forms may be obtained, inspected, or copied, subject to applicable copyright law, at the Kentucky Board of Pharmacy, State Office Building Annex, Suite 300, 125 Holmes Street, Frankfort, Kentucky 40601-8024, 8:00 a.m. to 4:30 p.m. Monday through Friday.
PUBLIC HEARING AND PUBLIC COMMENT PERIOD:

A public hearing on this administrative regulation shall be held on May 25, 2021 at 9:00 a.m. Eastern Time at the Kentucky Board of Pharmacy, 125 Holmes Street, Suite 300, State Office Building Annex, Frankfort, Kentucky 40601. Individuals interested in being heard at this hearing shall notify this agency in writing by five workdays prior to the hearing, of their intent to attend. If no notification of intent to attend the hearing is received by that date, the hearing may be canceled. This hearing is open to the public. Any person who wishes to be heard will be given an opportunity to comment on the proposed administrative regulation. A transcript of the public hearing will not be made unless a written request for a transcript is made. If you do not wish to be heard at the public hearing, you may submit written comments on the proposed administrative regulation. Written comments shall be accepted through May 31, 2021. Send written notification of intent to be heard at the public hearing or written comments on the proposed administrative regulation to the contact person.

Contact person: Larry Hadley, Executive Director, Kentucky Board of Pharmacy, 125 Holmes Street, Suite 300, State Office Building Annex, Frankfort, Kentucky 40601, Phone (502) 564-7910, Fax (502) 696-3806, email Larry.Hadley@ky.gov.
REGULATORY IMPACT ANALYSIS
AND TIERING STATEMENT

201 KAR 2:390 Requirements for Third-party logistics providers
Contact person: Larry Hadley
Contact Phone No.: 502-564-7910
Contact email: larry.hadley@ky.gov

1. Provide a brief summary of:
   a. What this administrative regulation does: This administrative regulation establishes the requirements for acquiring and maintaining a license to be third-party logistics provider.
   b. The necessity of this administrative regulation: This administrative regulation establishes the requirements as authorized by KRS 315.4102-315.4110.
   c. How this administrative regulation conforms to the content of the authorizing statutes: This administrative regulation establishes application requirements for initial application and renewal, qualifications for a license, and other general requirements as authorized by KRS 315.4102-4110.
   d. How this administrative regulation currently assists or will assist in the effective administration of the statutes: Third-party logistics providers are given greater direction on how to obtain a license and conduct business legally in the Commonwealth of Kentucky.

2. If this is an amendment to an existing administrative regulation, provide a brief summary of:
   a. How the amendment will change this existing administrative regulation: This amendment conforms third-party logistics providers requirements to requirements of federal law under the DSCSA. Moreover, this amendment conforms third party logistic provider licensing requirements to the recently enacted amendments also made for manufacturers and distributors.
   b. The necessity of the amendment to this administrative regulation: To ensure congruence with the DSCSA and congruence among regulatory requirements for manufacturers and wholesalers.
c. How the amendment conforms to the content of the authorizing statutes: KRS 315.4102-315.4110 address statutory requirements for third-party logistics providers. KRS 315.191 authorizes the Board of Pharmacy to promulgate regulations to implement and interpret KRS 315.4102-315.4110.

3. How the amendment will assist in the effective administration of the statutes: The amendment will further promote, preserve, and protect public health through effective regulation of third-party logistics providers and will clarify language to be consistent with other pharmacy regulations.

4. List the type and number of individuals, businesses, organizations, or state and local governments affected by this administrative regulation: The board anticipates approximately 250 entities will be affected by this regulation.

5. Provide an analysis of how the entities identified in question (3) will be impacted by either the implementation of this administrative regulation, if new, or by the change, if it is an amendment, including:
   a. List the actions that each of the regulated entities identified in question (3) will have to take to comply with this administrative regulation or amendment: The applicant will need to submit an application, pay a fee, and conduct business pursuant to the authorizing statutes and regulation.
   b. In complying with this administrative regulation or amendment, how much will it cost each of the entities identified in question (3): The fee has not changed with the proposed amendment. The board shall charge $200 for the initial application and each renewal.

6. Provide an estimate of how much it will cost to implement this administrative Regulation:
   (a) Initially: It costs approximately $200 per licensee to license, inspect, and enforce applicable laws and regulations that pertain to third-party logistics providers.
   (b) On a continuing basis: The board will incur costs of approximately $200 per licensee annually on a continuing basis.

7. What is the source of the funding to be used for the implementation and enforcement of this administrative regulation: Enforcement of this regulation shall be accomplished through license fees. The Board of Pharmacy generates its own revenues without contribution from the General Fund.
8. Provide an assessment of whether an increase in fees or funding will be necessary to implement this administrative regulation, if new, or by the change if it is an amendment: No increase in fees or funding will be required because of this new regulation.

9. State whether or not this administrative regulation establishes any fees or directly or indirectly increases any fees: This administrative regulation does not establish fees or directly or indirectly increase any fees.

10. TIERING: Is tiering applied? (Explain why tiering was or was not used) Tiering is not applied because the regulation is applied to all applicants equally.
201 KAR 2:390 Requirements for Third-party logistics providers
Contact Person: Larry Hadley
Contact Phone No.: 502-564-7910
Contact email: larry.hadley@ky.gov

1. What units, parts, or divisions of state or local government (including cities, counties, fire departments, or school districts) will be impacted by this administrative regulation? The Kentucky Board of Pharmacy will be the only entity impacted by this administrative regulation.

2. Identify each state or federal statute or federal regulation that requires or authorizes the action taken by the administrative regulation. KRS 315.4102-4110 and KRS 315.191(1)(a) authorize the board to promulgate administrative regulations to regulate and control third-party logistics providers.

3. Estimate the effect of this administrative regulation on the expenditures and revenues of a state or local government agency (including cities, counties, fire departments, or school districts) for the first full year the administrative regulation is to be in effect.

   a. How much revenue will this administrative regulation generate for the state or local government (including cities, counties, fire departments, or school districts) for the first year?
      • This administrative regulation amendment will not change the amount of revenue the Board of Pharmacy receives each year. Currently the Board receives $200 per license, and the license fee is utilized in licensing, inspecting and enforcing the board’s regulations.

   b. How much revenue will this administrative regulation generate for the state or local government (including cities, counties, fire departments, or school districts) for subsequent years?
      • This administrative regulation amendment is not projected to change board revenue in subsequent years.

   c. How much will it cost to administer this program for the first year?
      • The costs to administer this licensing program are covered by the licensing fee of $200.

   d. How much will it cost to administer this program for subsequent years?
      • No costs are required to administer this program for subsequent years, as all licensees are required to pay $200 and that covers the costs that the board incurs.

Note: If specific dollar estimates cannot be determined, provide a brief narrative to explain the fiscal impact of the administrative regulation. N/A
Revenues (+/-): 0
Expenditures (+/-): 0
Application to Operate as a Third Party Logistics Provider

Print legibly. Make check or money order payable to Kentucky State Treasurer. Mail completed notarized application to the above address with required documentation. Incomplete applications will be returned. Licenses expire June 30 following date of issuance.

1. Name of Facility ____________________________

Physical Address of Facility ____________________________

City ____________________________ State ______ County ______ Zip ____________
Phone Number ____________________________ Email address ____________________________

Mailing Address of Facility ____________________________

City ____________________________ State ______ Zip ____________

Check and complete one of the following and attach proper fee:

☐ New Third Party Logistics .................................................. $200.00
Proposed date of Opening __________________

☐ Ownership Change .......................................................... $100.00
Date of Proposed Acquisition __________________
Name of Previous Owner(s) __________________
(Confirmation statement of previous owner must be attached)

☐ Change of Address/Location ............................................ $100.00
Date of Proposed Relocation __________________
Previous Address __________________

☐ Name Change .............................................................. NO CHARGE
Previous Name __________________

2. Registration Numbers and Expiration Dates

DEA: ____________________________ Exp. Date: _____/_____/______
FDA: ____________________________ Exp. Date: _____/_____/______

3. Facility Contact Person:

Name: ____________________________ Phone Number: ____________________________
Address: ____________________________ Email: ____________________________
4. Has applicant, officer, partner, or director ever had a finding by a law enforcement agency or regulatory agency that the applicant, officer, partner, or director has violated federal, state, or local laws?
   - Yes, attach explanation
   - No

Has applicant, officer, partner, director or employee ever been convicted of a felony of federal, state, drug law, or medical assistance program?
   - Yes, attach explanation
   - No

Has applicant, officer, partner, director or employee ever had a professional license or permit disciplined by federal, state, or local law?
   - Yes, attach explanation
   - No

Has applicant, officer, partner or director ever applied for a license with this Board?
   - Yes, include license or permit number
   - No

5. Schedule of Hours:
   - Monday . . . ________ A.M. to ________ P.M.
   - Tuesday . . . ________ A.M. to ________ P.M.
   - Wednesday . ________ A.M. to ________ P.M.
   - Thursday . . . ________ A.M. to ________ P.M.
   - Friday . . . ________ A.M. to ________ P.M.
   - Saturday . ________ A.M. to ________ P.M.
   - Sunday . . ________ A.M. to ________ P.M.

6. Ownership (include state of incorporation):
   - Sole Proprietor
   - Partnership
   - Unincorporated Business
   - Incorporated Business
   - Other

On a separate sheet of paper, please provide the following information for each owner/partner/director/member/officer:
   - Name and Title
   - Email addresses
   - Federal Employer ID Number
   - Address (Business and Home)
   - Phone Number (Business & Home)
   - Social Security Number
   - Date of Birth

7. List of other states, districts, or territories in which licensed/permitted:

Pursuant to KRS 315.121, the Board may refuse to issue or otherwise discipline any licensee or permit holder for knowingly making or causing to be made, any false, fraudulent or forged statement in connection with an application for a permit.

I hereby certify that the foregoing is true and correct to the best of my knowledge. If the license applied for is granted, I certify that this business will be conducted in full compliance with all applicable federal and state laws and that I will make available any or all records required by law to the extent authorized by law.

__________________________________________________________________
(Signature of Owner/Officer and Title)
______/______/______
(Date)

NOTARY INFORMATION - I hereby certify that the above Application to Operate as an Third Party Logistics Provider was signed, subscribed and sworn to before me this__________day of _________________, 20_____.

My Commission Expires________________State of_______________________
Signature____________________________________

REQUIRED DOCUMENTATION:
[Only required with initial application and updates as necessary]

- Completed application
- Copy of DEA Registration
- Copy of Current Inspection Report by FDA, NABP or Board
- Copy of FDA Third Party Logistics Registration and other state license (if applicable)
- Confirmation Statement of former owner for Section 1
- Explanations for Section 4
- Legal proof of name change for Section 1
- Ownership information for Section 6

Form May 2020
APPLICATION FOR THIRD PARTY LOGISTICS PROVIDER LICENSE RENEWAL

Enclose a check or money order for $200.00, made payable to ‘Kentucky State Treasurer’. Please print legibly and complete this application; including the required original signature and return to the Board office no later than June 30th.

Facility Name ____________________________________________

Address ________________________________________________

________________________________________________________________________

Email Address ____________________________________________

Telephone No. __________________________ Fax No. _______________________

Ownership: □ Sole Proprietor     □ Partnership    □ Unincorporated Business    □ Incorporated Business

On a separate sheet of paper, please provide the following information for each owner/partner/director/member/officer:

❖ Name and Title
❖ Email addresses
❖ Federal Employer ID Number
❖ Address (Business and Home)
❖ Phone Number (Business & Home)
❖ Social Security Number
❖ Date of Birth

Schedule of Store Hours:

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Has applicant, officer, partner, or director ever had a finding by a law enforcement agency or regulatory agency that the applicant, officer, partner, or director has violated federal, state, or local laws not previously reported to the Board?

❖ Yes, attach explanation       ❖ No

Has applicant, officer, partner, director or employee ever been convicted of a felony of federal, state, drug law, or medical assistance program not previously reported to the Board?

❖ Yes, attach explanation       ❖ No

Has applicant, officer, partner, director or employee ever had a professional license or permit disciplined by federal, state, or local law not previously reported to the Board?

❖ Yes, attach explanation       ❖ No

Has applicant, officer, partner or director ever applied for a license with this Board not previously reported to the Board?

❖ Yes, attach explanation       ❖ No

The Board may refuse to issue or renew a permit, or suspend, temporarily suspend, revoke, fine or reasonably restrict any permit holder for knowingly making or causing to be made, any false, fraudulent or forged statement in connection with an application for a permit. KRS 315.121.

I hereby certify that the foregoing is true and correct to the best of my knowledge. If the registration herein applied for is granted, I certify that this business will be conducted in full compliance with all applicable federal and state laws and that I will make available any or all records required by law to the extent authorized by law.

_________________________       ________________________________
(Date)                        (Signature of Owner)

Kentucky License Number
TPL____________

DON’T FORGET!